

Artificial Intelligence in Clinical Research and Beyond

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Agenda

- 1. FDA Initiatives for Artificial Intelligence (AI)
- 2. Al Applications in Difference Drug Development Stages
- 3. CDISC Initiative for AI Ready Dataset: JSON Data

FDA Initiatives for AI

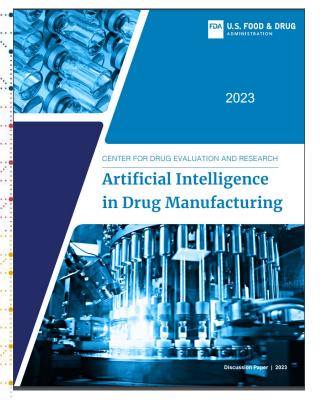
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https://www.fda.gov/science-research/artificial-intelligence-and-medicalproducts/artificial-intelligence-fda-publications

Date 🗸	Title \$	Document Type		
May 2023	2023 Using Artificial Intelligence & Machine Learning in the Development of Drug and Biological Products			
April 2023	Marketing Submission Recommendations for a Predetermined Change Control Plan for Artificial Intelligence/Machine Learning (AI/ML)-Enabled Device Software Functions: Draft Guidance for Industry and Food and Drug Administration Staff	Guidance Document		
March 2023	FDA Releases FDA Activities for the Safety of Imported Seafood	Constituent Update		
February 2023	Artificial Intelligence in Drug Manufacturing	Discussion Paper		
October 2022	Distributed Manufacturing and Point of Care Manufacturing of Drugs	Discussion Paper		
September 2022	Focus Area: Artificial Intelligence	Report		
August 2022	The FDA Moves into Third Phase of Artificial Intelligence Imported Seafood Pilot Program	Constituent Update		
August 2022	The FDA Moves into Third Phase of Artificial Intelligence Imported Seafood Pilot Program	Constituent Update		
February 2021	FDA Moves into Second Phase of AI Imported Seafood Pilot Program	Constituent Update		
January 2021	Artificial Intelligence and Machine Learning (AI/ML) Software as a Medical Device Action Plan	Action Plan		
November 2019	FDA Extends Comment Period on the New Era of Smarter Food Safety	Constituent Update		
September 2019	FDA Announces Public Meeting to Discuss the New Era of Smarter Food Safety	Constituent Update		
April 2019	Proposed Regulatory Framework for Modifications to Artificial Intelligence/Machine Learning (AI/ML)-Based Software as a Medical Device (SaMD) - Discussion Paper and Request for Feedback	Discussion Paper		



FDA AI in Drug Manufacturing: Terminology



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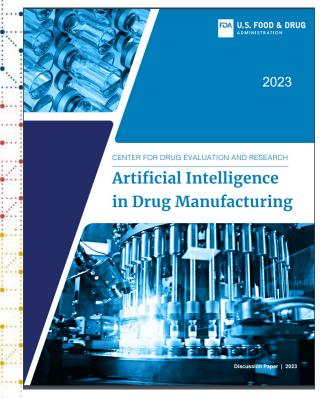


Terminology

The definitions below are for the purposes of this discussion paper only.

- Artificial Intelligence (AI): A branch of computer science, statistics, and engineering that uses algorithms or models that exhibit behaviors such as learning, making decisions, and making predictions.
- Machine Learning (ML): A branch of AI that provides systems with the ability to develop
 models through analysis of data without being explicitly programmed and to improve based on
 data or experience.
- **Model:** An abstract description of a physical system in any form (including mathematical, symbolic, graphical, or descriptive) that represents a certain aspect of that physical system.
- Cloud Computing: A model for enabling ubiquitous, convenient, on-demand network access to a shared pool of configurable computing resources (e.g., networks, servers, storage, applications, services) that can be rapidly provisioned and released with minimal management effort or service provider interaction.⁵

FDA AI in Drug Manufacturing: Potential Applications



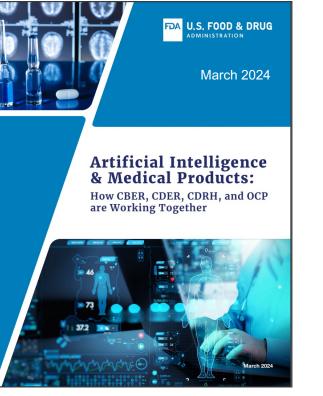
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The potential applications of AI in pharmaceutical manufacturing

- Process Design and Scale-up: AI models such as machine learning—generated using
 process development data—could be leveraged to more quickly identify optimal processing
 parameters or scale-up processes, reducing development time and waste.
- Advanced Process Control (APC): APC allows dynamic control of the manufacturing process to achieve a desired output. AI methods can also be used to develop process controls that can predict the progression of a process by using AI in combination with real-time sensor data. APC approaches that combine an understanding of the underlying chemical, physical, and biological transformations occurring in the manufacturing process with AI techniques are expected to see increasing adoption and have already been reported by several pharmaceutical manufacturers.
- Process Monitoring and Fault Detection: All methods can be used to monitor equipment and detect changes from normal performance that trigger maintenance activities, reducing process downtime. All methods can also be used to monitor product quality, including quality of packaging (e.g., vision-based quality control that uses images of packaging, labels, or glass vials that are analyzed by Al-based software to detect deviations from the requirements of a product's given quality attribute).
- Trend Monitoring: Al can be used to examine consumer complaints and deviation reports containing large volumes of text to identify cluster problem areas and prioritize areas for continual improvement. This offers the advantage of identifying trends in manufacturing-related deviations to support a more comprehensive root cause identification. Al methods integrated with process performance and process capability metrics can be used to proactively monitor manufacturing operations for trends. These methods can also predict thresholds for triggering corrective and preventive action effectiveness evaluations.

FDA AI & Medical Products: Area of Focus



https://www.fda.gov/media/177030/download



FDA precisionFDA: Moving into Cloud

An Introduction to Project PRISM: precisionFDA Regulatory Information Service Module

A Regulatory Cloud Collaborative Initiative

Virginia "Ginny" Hussong Branch Chief, Data Standards Center for Biologics Evaluation and Research US FDA

Oct. 2023

Presentation for RAPS Convergence 2023

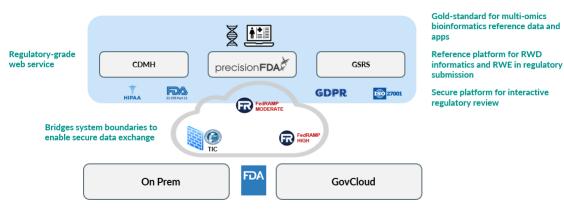
Session on "Regulatory Collaboration Through Cloud Platforms" Montreal, Canada October 5, 2023



preciprecisionFDA

ATO'd Cloud Platform for Regulatory Science and Review

FDA U.S. FOOD & DRUG







FDA AI & ML in Drug & Biological Products



Discussion Paper and Request for Feedback



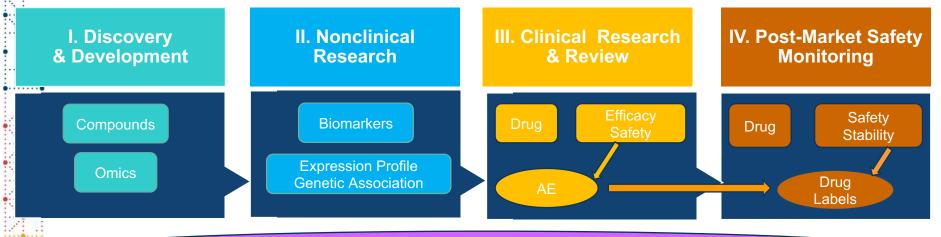
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Al Applications in Different Drug Discovery & Development Stages

Al Applications in Drug Discovery & Development

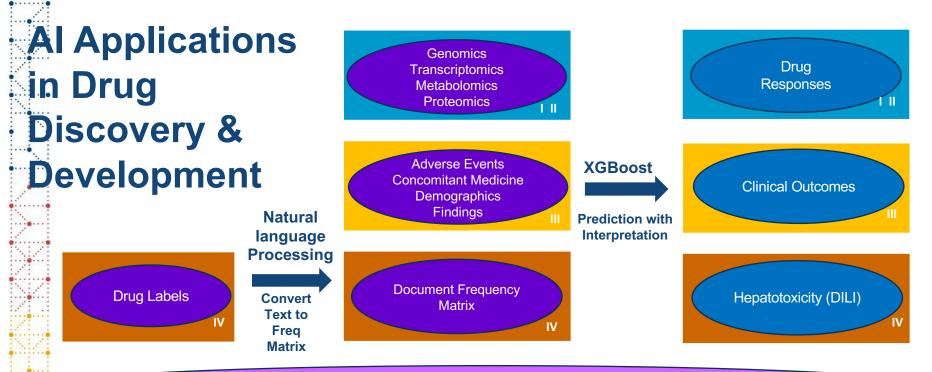


Artificial Intelligence / Machine Learning

Figure 1 Overview the advantages of AI/ML can take for the data generated from the different stages of drug discovery and development. The omics data in the stage I and II can help screening compounds and biomarkers. Clinical trial data in stage III not only can assist to predict clinical outcomes but also support to generate drug labels. Drug labels in the stage IV provide the important drug information for the public health.

Al Empowers the Assessment of Hepatotoxicity Potential and Clinical Endpoints in Different Stages of Drug Discovery and Development Minjun Chen, Zhichao Liu, Joshua Xu, Thomas J Pedersen, Byron Wingerd, Meichen Dong, Nicholas Mann, Weida Tong, Russell D Wolfinger, and Wenjun Bao^{*} Accepted in the book: Advance of Artificial intelligence (AI) in Biomedical Science 2024.





Artificial Intelligence / Machine Learning

Figure 2. Overview AI/ML tools in different drug discovery and development. Natural language processing to convert drug labels in stage IV to document frequency matrix. GBoost tools employ omics data in stage I and II, information in stage III, and document frequency matrix in stage IV to predict drug responses, clinical outcomes and hepatotoxicity (DILI) respectively.

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Text Explorer + XGBoost in JMP Pro



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What is XGBoost?

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https://www.nvidia.com/en-us/glossary/xgboost/

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Drivers

XGBoost, which stands for Extreme Gradient Boosting, is a scalable, distributed gradient-boosted decision tree (GBDT) machine learning library. It provides parallel tree boosting and is the leading machine learning library for regression, classification, and ranking problems.

It's vital to an understanding of XGBoost to first grasp the machine learning concepts and algorithms that XGBoost builds upon: supervised machine learning, decision trees, ensemble learning, and gradient boosting.

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Why XGBoost?

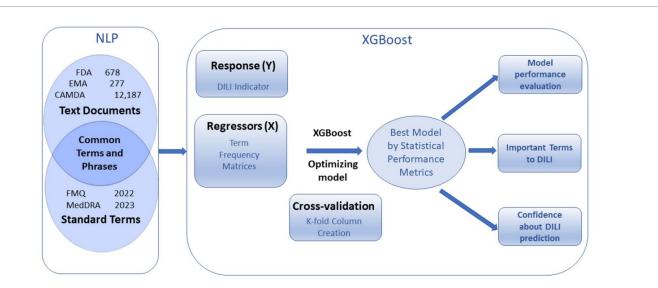
XGBoost gained significant favor in the last few years as a result of helping individuals and teams win virtually every Kaggle structured data competition. In these competitions, companies and researchers post data after which statisticians and data miners compete to produce the best models for predicting and describing the data.

Initially both Python and R implementations of XGBoost were built. Owing to its popularity, today XGBoost has package implementations for Java, Scala, Julia, Perl, and other languages. These implementations have opened the XGBoost library to even more developers and improved its appeal throughout the Kaggle community.

XGBoost has been integrated with a wide variety of other tools and packages such as scikit-learn for Python enthusiasts and caret for R users. In addition, XGBoost is integrated with distributed processing frameworks like Apache Spark and Dask.

In 2019 XGBoost was named among InfoWorld's coveted Technology of the Year award winners.

Text Explorer + XGBoost in JMP Pro



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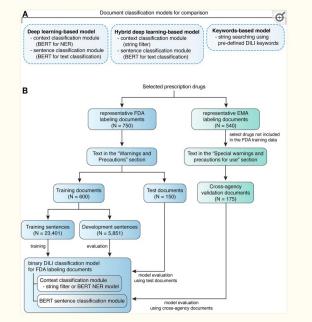
FIGURE 1

Overview of text document analysis procedure. Natural Language Processing (NPL) generates term frequency matrices that are used to predict DILI indicator with cross validation. Optimized XGBoost models produce statistical performance metrics, important terms to DILI, and confidences about prediction.



Chen M, Wu Y, Wingerd B, Liu Z, Xu J, Thakkar S, Pedersen TJ, Donnelly T, Mann N, Tong W, Wolfinger RD, Bao W*. Automatic text classification of drug-induced liver injury using document-term matrix and XGBoost. Front Artif Intell. 2024 Jun 3;7:1401810.

BERT (Bidirectional Encoder Representations from Transformers) by Google



Val ACC 0.81

FIGURE 1

Quorum flowchart describes the study design. (A) Drug labeling document classification models developed and compared in this study: (B) The study design of model training and evaluation using FDA labeling documents and model validation using EMA labeling documents.



Wu Y, Liu Z, Wu L, Chen M, Tong W. BERT-Based Natural Language Processing of Drug Labeling Documents: A Case Study for Classifying Drug-Induced Liver Injury Risk. Front Artif Intell. 2021 Dec 6;4:729834.

Text Explorer + XGBoost in JMP Pro

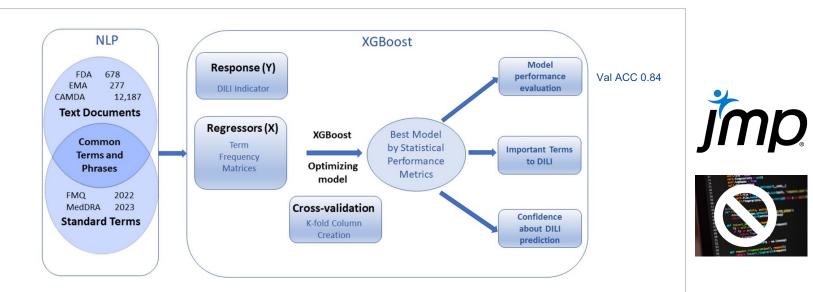


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CDISC Initiatives for JSON



New to CDISC Standards Education Resources Events Membership

Home / Dataset-JSON

Dataset-JSON

Pilot Specification

CDISC and PHUSE are delighted to announce a new pilot project ai submissions. This pilot builds upon the considerable amount of we regulatory authorities.

The pilot will be split into short-term goals of the acceptance of Da of the future strategy relating to the adoption of advanced Datase

Milestone 1: Short-Term

14 L

- Pilot submissions using the JSON format with existing XPT i
- Same content, different suitcase, no disruption to business
- · In parallel, evaluate with the FDA how their toolset can supp

Milestone 2: Development of Future Strategy

- Evaluate how current and future industry standards can ben
- Evaluate combining metadata with data (e.g., Define-XML /
- Enhanced conformance rules
- Collaborate with the FDA to develop plans to retool their env

https://www.cdisc.org/dataset-json

cdisc.

Home / Dataset-JSON

Dataset-JSON



Dataset-JSON was released as part of ODM v2.0 in 2023. Dataset-JSON version 1.1 is currently under development and will be published as an independent standard.

Dataset-JSON was adapted from the Dataset-XML Version 1.0 specification but uses JSON format. Like Dataset-XML, each Dataset-JSON file is connected to a Define-XML file that contains detailed information about the metadata. One aim of Dataset-JSON is to address as many of the relevant requirements from the PHUSE 2017 **Transport for the Next Generation** paper as possible, including the efficient use of storage space.

Dataset-JSON uses lowerCamelCase notation for attribute names, compared to Dataset-XML PascalCase (e.g., clinicalData vs ClinicalData).

JSON format does not allow to specify or control order of attributes. Despite that, as most JSON engines allow to control the order of attributes, it is strongly recommended to follow the attribute order specified in detail. Due to a possible large size of Dataset-JSON files, following the specified order will enable a software using stearning approaches to read the file to work in an efficient and fast way.

Dataset-JSON must contain only one dataset per file.

Top Level Attributes

At the top level of Dataset-JSON object, there are technical attributes and two main optional attributes: clinicalData and referenceData, corresponding to Dataset-XML elements. At least 1 of the main attributes must be provided. Subject data is stored in **clinicalData** and non-subject data is stored in **referenceData**.

New to CDISC Standards Education Resources Events Membership

ChatGPT: What is json?

- JSON (JavaScript Object Notation) is a lightweight data interchange format that's easy for humans to read and write and easy for machines to parse and generate.
- JSON is commonly used to transmit data between a server and a web application, serving as an alternative to XML.



ChatGPT: What are advantages of json?

- Human-Readable: JSON is easy for humans to read and write due to its simple syntax, which is based on key-value pairs and arrays. This makes it easier to debug and understand compared to other formats like XML.
- Lightweight: JSON is more concise and less verbose than other data formats like XML, making it faster to transmit over networks. Its lightweight nature is particularly beneficial for web applications where performance and speed are critical.
- Language Independence: JSON is text-based and can be easily parsed and generated by most programming languages, including Python, Java, JavaScript, C#, and many others. This makes it highly interoperable across different systems and platforms.
- Easy Parsing: Most programming languages have built-in support for JSON, allowing developers to easily parse and convert JSON data into native data structures (e.g., objects, arrays). This reduces the complexity of working with data.
- Structured Data: JSON can represent complex data structures, including nested objects and arrays, making it versatile for a wide range of applications, from simple configurations to complex datasets.
- Widely Used in Web Development: JSON is the standard format for data exchange in web APIs (Application Programming Interfaces). It is commonly used for sending and receiving data between a client (like a web browser) and a server.
- > No Need for Schema: Unlike XML, JSON does not require a predefined schema. This makes it more flexible, allowing data structures to evolve without breaking compatibility.
- Supports Unicode: JSON natively supports Unicode, making it ideal for international applications that need to handle text in multiple languages.

Summary: These advantages contribute to JSON's popularity in modern software development, particularly in web technologies and APIs.



CDISC Initiative for Adoption of Dataset-JSON JSOM Dataset

CDISC Sample Data adae.json ADaM adsl.json ae.json cm.json SDTM dm.json vs.json



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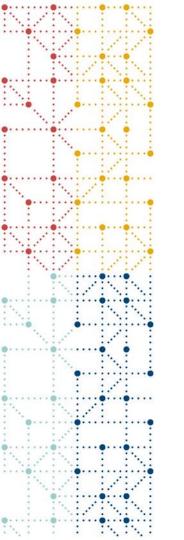
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Conclusions

- 1. FDA values AI for drug discovery and development (DDD) with official documents and many research papers.
- 2. Al can apply to difference DDD stages: Text Explorer + XGBosst in JMP Pro can generate a similar or slight better ACC than BERT from Google without coding.
- 3. CDISC promotes JSON data format for its flexibility, readability, and broad support across different programming environments.



Thanks! Wenjun.bao@jmp.com

